

10/12/01

UTILITY
PATENT APPLICATION
TRANSMITTAL
Only for new nonprovisional applications under 37 CFR 1.53(b)

Attorney Docket No 8229-014-27
First Inventor or Application Identifier Krishnaswamy RANKUMAR
Title A METHOD FOR GROWING ULTRA THIN NITRIDED OXIDE

1016 U.S. PTO
09/07/2001
10/12/01

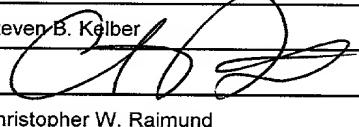
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g. PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small> <input type="checkbox"/> Applicant claims small entity status.		ACCOMPANYING DOCUMENTS 6. <input type="checkbox"/> Assignment Papers (cover sheet & document(s)) 7. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement <small>(when there is an assignee)</small> <input type="checkbox"/> Power of Attorney 8. <input type="checkbox"/> English Translation Document (if applicable) 9. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations 10. <input type="checkbox"/> Preliminary Amendment 11. <input checked="" type="checkbox"/> White Advance Serial No. Postcard 12. <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small> 13. <input type="checkbox"/> Request for Priority 14. <input checked="" type="checkbox"/> List of Inventors' Names and Addresses 15. <input type="checkbox"/> Other:	
2. <input checked="" type="checkbox"/> Specification Total Pages 14 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) Total Sheets 1 4. <input type="checkbox"/> Oath or Declaration Total Pages a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d)) <small>(for continuation/divisional with box 16 completed)</small> <input type="checkbox"/> Incorporation By Reference <small>(usable if box 4B is checked)</small> <small>The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</small>		16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application no.: Prior application information: Examiner: Group Art Unit:	
17. Amend the specification by inserting before the first line the sentence: <input checked="" type="checkbox"/> This application is a <input type="checkbox"/> Continuation <input type="checkbox"/> Division <input type="checkbox"/> Continuation-in-part (CIP) <small>of application Serial No.</small> Filed on			
<input checked="" type="checkbox"/> This application claims priority of provisional application Serial No. Filed			
18. CORRESPONDENCE ADDRESS Supervisor, Patent Prosecution Services PIPER MARBURY RUDNICK & WOLFE LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412 Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085			

Name	Steven B. Kalber	Registration No.	30,073
Signature		Date	OCT. 12, 2001
Name	Christopher W. Raimund	Telephone	202-861-3900
		Registration No.	47,258

FEE TRANSMITTAL

FEE TRANSMITTAL		Docket No.	8229-014-27	
		Serial No.	New Application	
		Filing Date	Herewith	
		Inventor(s)	Krishnaswamy RAMKUMAR, et al.	
		Group Art Unit		
TOTAL AMOUNT OF PAYMENT		\$924.00	Examiner	

<p>1. <input type="checkbox"/> Applicant claims small entity status.</p> <p><input type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442.</p> <p><input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.</p>								FEE CALCULATION (continued)									
								3. ADDITIONAL FEES									
								Large Entity	Small Entity	Fee Description							
2. <input checked="" type="checkbox"/> Check enclosed.								Fee Code	Fee (\$)	Fee Code	Fee (\$)						
FEE CALCULATION								105	130	205	65						
1. BASIC FILING FEE								127	50	227	25						
Large Entity		Small Entity		Fee Description		139	130	139	130	Surcharge-late filing fee or oath		\$130.00					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Paid		147	2520	147	2520	Surcharge-late provisional filing fee or cover sheet							
101	740	201	370	Utility filing fee		\$740.00	115	110	215	55	1-mo ext. of time						
106	330	206	165	Design filing fee			116	400	216	200	2-mo ext. of time						
107	510	207	255	Plant filing fee			117	920	217	460	3-mo ext. of time						
108	740	208	370	Reissue filing fee			118	1440	218	720	4-mo ext. of time						
114	160	214	80	Provisional filing fee			128	1960	228	980	5-mo ext. of time						
SUBTOTAL (1)					\$740.00	119	320	219	160	Notice of Appeal							
2. EXTRA CLAIM FEES								120	320	220	160	Appeal Brief					
tot. claims		23	-	20*	=	3	x	\$18	=	54	121	280	221	140	Request for Oral Hearing		
Ind. claims		2	-	3*	=	0	x	\$84	=	0	142	1280	242	640	Utility/Reissue Issue Fee		
<input type="checkbox"/> Multiple Dependent Claims								\$280	=	143	460	243	230	Design Issue Fee			
Large Entity		Small Entity		Fee Description				144	620	244	310	Plant Issue Fee					
Fee Code	Fee (\$)	Fee Code	Fee (\$)					122	130	122	130	Petitions to the Commissioner					
103	18	203	9	Claims in excess of 20				126	180	126	180	IDS Submission					
102	84	202	42	Independent claims in excess of 3				581	40	581	40	Assignment					
104	280	204	140	Multiple dependent claim, if not paid				179	740	279	370	For Filing RCE					
109	84	209	42	*Reissue independent claims over original patent				169	900	169	900	Expedited Design					
110	18	210	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below)									
SUBTOTAL (2)								\$54.00					SUBTOTAL (3)		\$130.00		

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Signature				Date	OCT. 12, 2001		Telephone	202-861-3900	
Name	Christopher W. Raimund			Registration No.		47,258			

DOCKET NO. 8229-014-27

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Krishnaswamy RAMKUMAR, et al. ART UNIT:
SERIAL NO.: New Application EXAMINER:
FILING DATE: Herewith
FOR: A METHOD FOR GROWING ULTRA THIN NITRIDED OXIDE

LIST OF INVENTORS' NAMES AND ADDRESSES

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

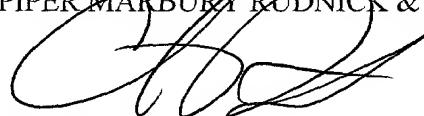
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A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

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